

10/05/01



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10/09/01

A

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FACSIMILE 314-231-4342
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PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

OF COUNSEL
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STUART N. SENNIGER
(1921-1997)

October 5, 2001

UTILITY PATENT APPLICATION TRANSMITTAL
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: LELI 3442
First Named Inventor: Jefferson YS Yang
Title: DIAPHRAGM PUMP AND ANODE STREAM RECIRCULATION SYSTEM
USING SUCH PUMP FOR A FUEL CELL
Express Mail Label Number: EL 890728012 US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☒ Applicant claims small entity status
3. ☒ Specification [Total Pages 14]
4. ☒ Drawings [Total Sheets 5]
5. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.



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6. ☐ Incorporation By Reference
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of prior application No.: _____
- ☐ Complete Application based on provisional Application
No. _____

Prior application information: Examiner:
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Kurt F. James

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Kurt F. James".

Kurt F. James, Reg. No. 33,716

KFJ/dss

111716	111717	111718	111719	111720	111721	111722	111723	111724	111725	111726	111727	111728	111729	111730	111731	111732	111733	111734	111735	111736	111737	111738	111739	111740	111741	111742	111743	111744	111745	111746	111747	111748	111749	111750	111751	111752	111753	111754	111755	111756	111757	111758	111759	111760	111761	111762	111763	111764	111765	111766	111767	111768	111769	111770	111771	111772	111773	111774	111775	111776	111777	111778	111779	111780	111781	111782	111783	111784	111785	111786	111787	111788	111789	111790	111791	111792	111793	111794	111795	111796	111797	111798	111799	111800	111801	111802	111803	111804	111805	111806	111807	111808	111809	111810	111811	111812	111813	111814	111815	111816	111817	111818	111819	111820	111821	111822	111823	111824	111825	111826	111827	111828	111829	111830	111831	111832	111833	111834	111835	111836	111837	111838	111839	111840	111841	111842	111843	111844	111845	111846	111847	111848	111849	111850	111851	111852	111853	111854	111855	111856	111857	111858	111859	111860	111861	111862	111863	111864	111865	111866	111867	111868	111869	111870	111871	111872	111873	111874	111875	111876	111877	111878	111879	111880	111881	111882	111883	111884	111885	111886	111887	111888	111889	111890	111891	111892	111893	111894	111895	111896	111897	111898	111899	111900	111901	111902	111903	111904	111905	111906	111907	111908	111909	111910	111911	111912	111913	111914	111915	111916	111917	111918	111919	111920	111921	111922	111923	111924	111925	111926	111927	111928	111929	111930	111931	111932	111933	111934	111935	111936	111937	111938	111939	111940	111941	111942	111943	111944	111945	111946	111947	111948	111949	111950	111951	111952	111953	111954	111955	111956	111957	111958	111959	111960	111961	111962	111963	111964	111965	111966	111967	111968	111969	111970	111971	111972	111973	111974	111975	111976	111977	111978	111979	111980	111981	111982	111983	111984	111985	111986	111987	111988	111989	111990	111991	111992	111993	111994	111995	111996	111997	111998	111999	112000
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Application Number to be assigned
Filing Date October 5, 2001
Inventor(s) Jefferson YS Yang
Attorney Docket Number LELI 3442

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
☒ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$ 370.00
(Type: Utility)
2. [] EXTRA CLAIM FEES Subtotal (2) \$ _____
Total Claims 15
Independent Claims 2
Multiple Dependent Claims _____
3. [] ADDITIONAL FEES Subtotal (3) \$ 40.00
[] Surcharge - late filing fee or oath
[] Surcharge - late provisional filing fee or cover sheet
[] Extension for reply within _____ month
[] Notice of Appeal
[] Filing a Brief in Support of an appeal
[] Request for ex parte Reexamination
[] Petitions to the Commissioner
[] Submission of Information Disclosure Statement
[X] Recording each patent assignment per property
[] Request for Continued Examination
[] Other: _____

TOTAL AMOUNT OF PAYMENT \$ 410.00

Kurt F. James October 5, 2001
Kurt F. James, Reg. No. 33,716 Date

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Express Mail Label No. EL 890728012 US